| FACSIMILE<br>TRANSMITTAL<br>FORM |  | Application Number     | 09/837714      |                          |  |
|----------------------------------|--|------------------------|----------------|--------------------------|--|
|                                  |  | Filing Date            | ,              |                          |  |
|                                  |  | First Named Inventor   |                |                          |  |
|                                  | FORM   | Art Unit               | 3743 CENTRA    | CEIVED                   |  |
|                                  |  | Examiner Name          | Agron I Lourie | <del>- 175. CEN</del> TE |  |
| Fax: 703-872-9306                |  | Attorney Docket Number | 48317US032     | <del>0 3 200</del> 1     |  |
| Total                            | Number of Pages in Th                                    | is Submission: 13      |                |                          |  |
| Date:                            | Date: May 3, 2005 Attorney for Applicant: Karl G. Hanson |                        |                |                          |  |

| ENCLOSURES (check all that apply)                                      |   |  |   |  |  |  |
|--|---|--|---|--|--|--|
| ☐ Fee Transmittal Form ☐ tasue Fee Transmittal ☐ Amendment Transmittal | Petition  |  | Appeal Communication to<br>Board of Appeals and<br>Interferences                    |  |  |  |
| Amendment/Reply After Final Affidavits/Declaration(s)                  | Petition to Convert a Provisional Application       |  | Appeal Communication to<br>Technology Center (Appeal<br>Notice, Brief, Reply Brief) |  |  |  |
| Extension of Time Request  | ☐ Power of Attorney, Revocation                     |  | Proprietary Information   |  |  |  |
| Express Abandonment<br>Request   | Change of Correspondence Address                    |  | Status Letter   |  |  |  |
| Information Disclosure<br>Statement                                    | ☐ Terminal Disclaimer                               |  | Other Enclosures:   |  |  |  |
| Response to Missing Parts/<br>Incomplete Application                   | Request for Refund                                  |  |   |  |  |  |
| Response to Missing Parts under 37 CFR § 1.52 or 1.53                  | Request for Continued Examination (RCE) Transmittel |  |   |  |  |  |
| ☐ Drawings   | After Allowance Communication to Technology Center  |  |   |  |  |  |
|  | REMARKS:  |  |   |  |  |  |

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## RECEIVED CENTRAL FAX CENTER MAY 0 3 2005

Patent Case No.: 48317US032

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

JAPUNTICH, DANIEL A.

Application No.:

32692

Customer Number

09/837714

Group Art Unit:

3743

Filed:

April 18, 2001

Examiner:

Aaron J. Lewis

Title:

METHOD OF MAKING A FILTERING FACE MASK THAT HAS AN

**EXHALATION VALVE** 

### INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

| CI  | ERTIFICATE O | F MAILING | OR | TRANSMISSION [37 CFR § 1 | .8(a) |
|-----|--------------|-----------|----|--------------------------|-------|
| . • |              | _         |    | _                        |       |

I hereby certify that this correspondence is being:

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Transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

| May | 3. | 200 | 5_ |
|-----|----|-----|----|
|     |    |     |    |

Date

#### Dear Sir:

In accordance with the continuing duty of candor and good faith that is to be demonstrated before the United States Patent and Trademark Office, applicants submit a copy of another Answer in the civil litigation between the 3M Company and the 3M Innovative Properties Company ("3M") and Moldex-Metric, Inc. In this lawsuit, the defendant, Moldex-Metric, Inc. has filed an Answer to a third Complaint filed by 3M. In so doing, Moldex has filed counter-claims for a declaratory judgment of noninfringement and invalidity of U.S. Patent RE37,974 to Bowers, U.S. Patent 6,843,248 to Japuntich et al., and U.S. Patent 6,854,463 to Japuntich et al. Moldex contends that each of these patents are invalid under 35 USC § 102 and 35 USC § 103. Moldex also asserts that all patents are invalid for "containing a specification and claims which do not meet one or more of the requirements of 35 USC § 112, i.e., written description, enablement, best mode, and definiteness."

Please contact applicants' attorney if the Examiner desires more information regarding the defenses raised by each of the defendants. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that this item has been considered.

Application No.: 09/837714

Case No.: 48317US032

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

May 3, 2005

Date

Karl G. Hanson, Reg. No.: 32,900 Telephone No.: (651) 736-7776

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833 Substitute for form 1449A/PTO (modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

| Application Number   | 09/837714            |
|----------------------|----------------------|
| Filing Date          | April 18, 2001       |
| First Named Inventor | Japuntich, Daniel A. |
| Art Unit             | 3743                 |
| Examiner Name        | Aaron J. Lewis       |
| Attorney Case Number | 48317US032           |

|        | U.S. Patent Documents |                                  |                          |  |   |  |  |  |  |
|--------|-----------------------|----------------------------------|--------------------------|--|---|--|--|--|--|
| Exam.  | Cite<br>No.           | Document Number                  | Publication Date or      | Name of Patentee<br>or Applicant of Cited Document | Pagea, Columns, Lines, Where<br>Relevant Passeges or Relevant<br>Figures Appear |  |  |  |  |
| init.* |                       | Doc. Number-(Kind Code if Known) | lsaue Date<br>MM-DD-YYYY |  |   |  |  |  |  |
|        | A1                    | US-                              |                          |  |   |  |  |  |  |
|        | A2                    | U\$-                             |                          |  |   |  |  |  |  |
|        | A3                    | U\$-                             |                          |  |   |  |  |  |  |
|        | A4                    | US-                              |                          |  |   |  |  |  |  |
|        | A5                    | US-                              |                          |  |   |  |  |  |  |
|        | A6                    | US-                              |                          |  |   |  |  |  |  |
|        | A7                    | US-                              |                          |  |   |  |  |  |  |
|        | A8                    | US-                              |                          |  |   |  |  |  |  |
|        | A9                    | US-                              | ·                        |  |   |  |  |  |  |
|        | A10                   | US-                              |                          |  |   |  |  |  |  |
|        | A11                   | US-                              |                          |  |   |  |  |  |  |

|                 | Foreign Patent Documents |                         |                            |                  |                             |   |                |  |
|-----------------|--------------------------|-------------------------|----------------------------|------------------|-----------------------------|---|----------------|--|
| Exam.<br>Init,* | Cite<br>No.              | Foreign Patent Document |                            | Publication Date | Name of Patentee or         | Pages, Columns, Lines,                                | Translation    |  |
|                 |                          | Ctry. Code              | Number-KindCode (if known) | MM-DD-YYYY       | Applicant of Cited Document | Where Relevant Passages<br>or Relevant Figures Appear | (Check if yes) |  |
|                 | <b>B</b> 1               |                         |                            |                  |                             |   |                |  |
|                 | B2                       |                         |                            |                  |                             |   |                |  |
|                 | <b>B</b> 3               |                         |                            |                  |                             |   |                |  |
|                 | B4                       |                         |                            |                  |                             |   |                |  |
|                 | <b>B</b> 5               |                         |                            |                  |                             |   |                |  |
|                 | B6                       |                         |                            |                  |                             |   |                |  |
|                 | B7                       |                         |                            |                  |                             |   |                |  |

|                 | OTHER DOCUMENTS |   |                               |  |  |  |  |
|-----------------|-----------------|---|-------------------------------|--|--|--|--|
| Exem.<br>Init.* | Cite<br>No.     | Include name of the author (in CAPITAL LETTERS), title of the criticle (when appropriete), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation<br>(Check if yes) |  |  |  |  |
|                 | C1              | Moidex's Answer to Third Amended Complaint and Counterclaims (3M Company and 3M Innovative Properties Company v. Moldex-Metric, Inc., Civil Action No. 03-5292 MJD/JGL, United States District Court for the District of Minnesota)                             |                               |  |  |  |  |
|                 | C2              |   |                               |  |  |  |  |
|                 | СЗ              |   |                               |  |  |  |  |

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw fine through citation if not in conformance and not considered, include copy of this form with next communication to applicant.